

SEPARABLE UNIVERSAL BANACH LATTICES

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ABSTRACT

We construct separable universal injective and projective lattices for the class of all separable Banach lattices.

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1. Introduction

The object of this paper is to construct universal injective and projective objects for the class of separable (real) Banach lattices.

It is well known that $C[0, 1]$ is a universal injective Banach space for the class of all separable Banach spaces—that is, any separable Banach space embeds isometrically into $C[0, 1]$. Similarly, ℓ_1 is a universal projective Banach space for the class of separable Banach spaces—every separable Banach space is a quotient of ℓ_1 . We construct similar objects in the lattice setting.

Below we briefly recall some essential notation. The reader is referred to [5] or [6] for more information about Banach lattices.

Suppose E and F are Banach lattices. We say that $u \in B(E, F)$ is a **lattice homomorphism** if it preserves lattice operations (it suffices to check that $u(x_1 \vee x_2) = ux_1 \vee ux_2$ for any $x_1, x_2 \in E$; note that u is necessarily positive). An operator which is both an isometry and a lattice homomorphism is referred to as a **lattice isometry**.

We call $q \in B(E, F)$ a **lattice quotient** if there is an ideal $I \subset E$ so that q identifies F with E/I . Notice that q is a lattice quotient if and only if it has the following properties: (i) q maps the open ball of E onto the open ball of F , and (ii) q is a lattice homomorphism. Indeed, in this case the formal identity $i : E/I \rightarrow F$ is a lattice isometry; by [1], the same is true for i^{-1} .

Throughout, we work with real lattices. We make use of two compact metrizable sets—the Hilbert cube \mathbb{H} , and the Cantor set Δ (that can be regarded as $[0, 1]^{\mathbb{N}}$, respectively $\{0, 1\}^{\mathbb{N}}$, equipped with the product topology). We use L_1 as a shorthand for $L_1(0, 1)$.

The two theorems below represent the main results of this note.

THEOREM 1.1: *The Banach lattice $C(\Delta, L_1)$ is injectively universal for the class of separable Banach lattices. That is, any separable Banach lattice embeds lattice isometrically into $C(\Delta, L_1)$.*

THEOREM 1.2: *There exists a separable Banach lattice X which is projectively universal for the class of separable Banach lattices, that is, any separable Banach lattice is lattice isometric to a quotient of X by a closed lattice ideal.*

The proofs of Theorems 1.1 and 1.2 are given below.

Remark 1.1: As a separable Banach lattice can have infinitely many generators, no universal projective lattice can be finitely generated. However, the universal injective lattice $C(\Delta, L_1)$ can be generated by two elements. To verify this, we use a technique similar to [6, Theorem V.2.10]. Recall that L_1 is lattice isometric to $L_1(\Delta, \mu)$, where μ is the Haar measure on Δ (see [3, §14–15]). The measure μ can also be described as follows: consider $\nu = (\delta_0 + \delta_1)/2$ (a probability measure on $\{0, 1\}$); then $\mu = \nu^{\mathbb{N}}$ is a probability measure on $\Delta = \{0, 1\}^{\mathbb{N}}$. Note that the set $K = \Delta \times \Delta$ is homeomorphic to Δ . Representing Δ as a compact subset of \mathbb{R} , and applying Stone’s Theorem (see [6, Theorem II.7.3]), we observe that $C(\Delta)$ is generated by the identity $\mathbf{1}$ and the coordinate function. Thus,

$$C(K) \cong C(\Delta)$$

has two generators. To show that $C(K)$ is dense in $C(\Delta, L_1(\Delta, \mu))$, note that any $f \in C(\Delta, L_1(\Delta, \mu))$ is uniformly continuous. Hence, the functions of the form $\sum_{k=1}^n \chi_{A_k} \otimes f_k$ (where $f_k \in L_1(\Delta, \mu)$, and A_k is a clopen subset of Δ) are dense in $C(\Delta, L_1(\Delta, \mu))$.

2. The proof of Theorem 1.1

Let $A_n, n \in \mathbb{N}$, be finite nonempty sets and let \widehat{T} be the tree $\bigcup_{k=0}^{\infty} \prod_{n=1}^k A_n$, where, as usual, the product $\prod_{n=1}^k A_n$ is defined to be \emptyset if $k = 0$. Suppose that $\sigma = (a_1, \dots, a_k) \in \prod_{n=1}^k A_n$; we say that σ has **length** k and write $|\sigma| = k$. For any $b \in A_{k+1}$, we denote the element $(a_1, \dots, a_k, b) \in \prod_{n=1}^{k+1} A_n$ by (σ, b) .

Let E be a Banach lattice. A family $(x_\sigma)_{\sigma \in \widehat{T}}$ is said to be a **finitely branching tree** in E_+ if

- (a) $x_\sigma \in E_+$ for all $\sigma \in \widehat{T}$,
- (b) for any $\sigma \in \widehat{T}$ with $|\sigma| = k$, $(x_{(\sigma,b)})_{b \in A_{k+1}}$ is pairwise disjoint and

$$x_\sigma = \sum_{b \in A_{k+1}} x_{(\sigma,b)}.$$

Observe that if $(x_\sigma)_{\sigma \in \widehat{T}}$ is a finitely branching tree in E_+ , then by (b), $\text{span}\{x_\sigma : \sigma \in \widehat{T}\}$ is a vector sublattice of E .

PROPOSITION 2.1: *Let E be a Banach lattice. Suppose that there is a finitely branching tree $(x_\sigma)_{\sigma \in \widehat{T}}$ in E_+ so that E is the closed linear span of $(x_\sigma)_{\sigma \in \widehat{T}}$. Then there exists a compact metric space K so that E is a lattice isometric to a closed sublattice of $C(K, L_1)$.*

Proof. Obviously, under the given assumption, E is a separable Banach lattice. Let K be the positive part of the closed ball of E^* , endowed with the weak* topology. Then K is a compact metrizable topological space. By rescaling if necessary, we may assume that $\|x_\emptyset\| \leq 1$. For each $\sigma \in \widehat{T}$, the function $g_\sigma : K \rightarrow \mathbb{R}$ given by $g_\sigma(x^*) = x^*(x_\sigma)$ is a nonnegative continuous function on K . Furthermore, for all $\sigma \in \widehat{T}$ with $|\sigma| = k$, it follows from property (b) that

$$(1) \quad g_\sigma = \sum_{b \in A_{k+1}} g_{(\sigma,b)}.$$

We now define functions $f_\sigma : K \rightarrow L_1$, $\sigma \in \widehat{T}$, inductively as follows. Let $f_\emptyset(x^*) = \chi_{[0, g_\emptyset(x^*)]}$. By the continuity of g_\emptyset , we see that f_\emptyset is a continuous function from K into L_1 . In general, assume that f_σ has been defined so that $f_\sigma(x^*) = \chi_{[c(x^*), d(x^*)]}$, where $c, d : K \rightarrow \mathbb{R}$ are nonnegative continuous functions so that $d - c = g_\sigma$. Label the elements in A_{k+1} as b_1, \dots, b_r . Define $f_{(\sigma,b_i)}(x^*)$, $1 \leq i \leq r$, to be the characteristic function of the interval

$$\left[c(x^*) + \sum_{j=1}^{i-1} g_{(\sigma,b_j)}(x^*), c(x^*) + \sum_{j=1}^i g_{(\sigma,b_j)}(x^*) \right].$$

By continuity of c and $g_{(\sigma,b_j)}$, $f_{(\sigma,b_i)}$ is a continuous function from K into L_1 for each i . This completes the inductive definition of f_σ , $\sigma \in \widehat{T}$. It follows from (1) that

$$(2) \quad f_\sigma = \sum_{b \in A_{k+1}} f_{(\sigma,b)} \quad \text{if } |\sigma| = k$$

(equality in the L_1 sense at each $x^* \in K$). From (b) and (2), we see that the map $x_\sigma \mapsto f_\sigma$, $\sigma \in \widehat{T}$, extends to a linear map u from $\text{span}\{x_\sigma : \sigma \in \widehat{T}\}$ into $C(K, L_1)$. By (b), for any $y \in \text{span}\{x_\sigma : \sigma \in \widehat{T}\}$, one can derive that $y \in \text{span}\{x_\sigma : |\sigma| = k\}$ for all sufficiently large k . In particular, $\text{span}\{x_\sigma : \sigma \in \widehat{T}\}$ is a sublattice of E . Also, it is easy to check that if σ and τ are distinct elements in \widehat{T} of the same length, then $f_\sigma(x^*) \wedge f_\tau(x^*) = 0$ (in L_1) for each $x^* \in K$. It follows that the map u is a lattice homomorphism. Next, we show that u is an (into) isometry. Let $x \in \text{span}\{x_\sigma : \sigma \in \widehat{T}\}$. Write $x = \sum_{|\sigma|=k} c_\sigma x_\sigma$ for some $k \in \mathbb{N}$ and $c_\sigma \in \mathbb{R}$. Then $|x| = \sum_{|\sigma|=k} |c_\sigma| x_\sigma$ and

$$|ux| = u|x| = \sum_{|\sigma|=k} |c_\sigma| f_\sigma.$$

By construction, $\|f_\sigma(x^*)\|_{L_1} = g_\sigma(x^*) = x^*(x_\sigma)$. Since K is the positive part of the ball of E^* , one can derive that

$$\begin{aligned} \|ux\| &= \| |ux| \| = \sup_{x^* \in K} \left\| \sum_{|\sigma|=k} |c_\sigma| f_\sigma(x^*) \right\|_{L_1} \\ &= \sup_{x^* \in K} \sum_{|\sigma|=k} |c_\sigma| \|f_\sigma(x^*)\|_{L_1} \\ &= \sup_{x^* \in K} \sum_{|\sigma|=k} |c_\sigma| x^*(x_\sigma) = \sup_{x^* \in K} x^* \left(\sum_{|\sigma|=k} |c_\sigma| x_\sigma \right) \\ &= \sup_{x^* \in K} x^*(|x|) = \| |x| \| \\ &= \|x\|. \end{aligned}$$

Hence u is a lattice isometry from $\text{span}\{x_\sigma : \sigma \in \widehat{T}\}$ into $C(K, L_1)$. As $\text{span}\{x_\sigma : \sigma \in \widehat{T}\}$ is dense in E by assumption, u extends to a lattice isometry from E into $C(K, L_1)$. ■

PROPOSITION 2.2: *Let E be a separable Banach lattice, regarded as a closed sublattice of its bidual E^{**} . There is a Banach lattice F such that $E \subseteq F \subseteq E^{**}$, F_+ contains a finitely branching tree $(x_\sigma)_{\sigma \in \widehat{T}}$ and $\text{span}\{x_\sigma : \sigma \in \widehat{T}\}$ is dense in F .*

Proof. Let $(e_i)_{i=1}^\infty$ be a countable dense subset of E consisting of nonzero vectors. We shall construct recursively a finitely branching tree $(x_\sigma)_{\sigma \in \widehat{T}} \subset E_+^{**}$ so that, for any $1 \leq m \leq n$,

$$\text{dist}(e_m, \text{span}\{x_\sigma : |\sigma| = n\}) < 2^{-n}.$$

Then the proposition follows by taking F to be the closed linear span of $(x_\sigma)_{\sigma \in \widehat{T}}$ in E^{**} .

Start the construction by setting $A_0 = \emptyset$ and

$$x_\emptyset = e = \sum_{i=1}^\infty \frac{|e_i|}{2^i \|e_i\|}.$$

Suppose that $n \in \mathbb{N} \cup \{0\}$ and the sets A_0, A_1, \dots, A_n and vectors $x_\sigma \in E_+^{**}$ ($|\sigma| \leq n$) have already been selected so that condition (b) above is satisfied for every σ with $|\sigma| < n$. In particular,

$$\sum_{|\sigma|=n} x_\sigma = e.$$

Since for all $1 \leq i \leq n + 1$, e_i lies in the principal ideal generated by e in E^{**} , by Freudenthal’s Spectral Theorem [5, Theorem 1.2.18] and its proof, there exist mutually disjoint $z_1, \dots, z_N \in E_+^{**}$ so that $z_1 + \dots + z_N = e$, and

$$\text{dist}(e_m, \text{span}\{z_1, \dots, z_N\}) < 2^{-(n+1)}$$

for $1 \leq m \leq n + 1$. Denote by P_i the band projection from E^{**} onto the band generated by z_i in E^{**} , $1 \leq i \leq N$. Let $A_{n+1} = \{1, \dots, N\}$; for $\sigma \in \prod_{k=1}^n A_k$ and $i \in A_{n+1}$, let $x_{(\sigma,i)} = P_i x_\sigma$. Since x_σ lies in the band B generated by e in E^{**} and $\sum_{i=1}^N P_i$ is the band projection onto B , $x_\sigma = \sum_{i \in A_{n+1}} x_{(\sigma,i)}$. This completes the inductive construction of $(x_\sigma)_{\sigma \in \widehat{T}}$, where $\widehat{T} = \bigcup_{k=0}^\infty \prod_{n=1}^k A_n$. Clearly, $(x_\sigma)_{\sigma \in \widehat{T}}$ is a finitely branching tree in E_+ . Furthermore, in the notation above,

$$z_i = P_i e = \sum_{|\sigma|=n} P_i x_\sigma = \sum_{|\sigma|=n} x_{(\sigma,i)}.$$

Thus, for $1 \leq m \leq n + 1$,

$$\begin{aligned} \text{dist}(e_m, \text{span}\{x_\sigma : |\sigma| = n + 1\}) &\leq \text{dist}(e_m, \text{span}\{z_1, \dots, z_N\}) \\ &< 2^{-(n+1)}. \quad \blacksquare \end{aligned}$$

Proof of Theorem 1.1. By Propositions 2.1 and 2.2, there are a compact metric space K and a lattice isometry u from E into $C(K, L_1)$. It is well known that there exists a continuous surjection $\pi : \Delta \rightarrow K$. Then the map $j : E \rightarrow C(\Delta, L_1)$ given by $jx = ux \circ \pi$ is a lattice isometry. ■

3. The proof of Theorem 1.2

A few words of motivation before we begin the proof proper. Suppose that X is a separable Banach lattice that is projectively universal for the class of separable Banach lattices. For any separable Banach lattice E , there is a lattice quotient q from X onto E . Then $q^* B_{E^*}$ is a $\sigma(X^*, X)$ -closed convex solid subset of the $\sigma(X^*, X)$ -compact metrizable space B_{X^*} . Let \mathbb{H} be the Hilbert cube $[0, 1]^{\mathbb{N}}$. For each separable Banach lattice E , we will present B_{E^*} as a closed convex solid subset of the ball of $M(\mathbb{H}) = C(\mathbb{H})^*$ on a different copy of \mathbb{H} . We then stitch these copies together to form a compact metric space, say K . The space X is taken to be the completion of $C(K)$ normed by the union of the copies of B_{E^*} .

If V is a solid subset of $B_{M(\mathbb{H})}$, define a seminorm ρ_V on $C(\mathbb{H})$ by

$$\rho_V(f) = \sup_{\mu \in V} \left| \int f \, d\mu \right|.$$

Since V is solid, ρ_V is a lattice seminorm and $\ker \rho_V$ is a vector lattice ideal of $C(\mathbb{H})$. Thus $C(\mathbb{H})/\ker \rho_V$ is a vector lattice. Clearly, ρ_V induces a lattice norm on $C(\mathbb{H})/\ker \rho_V$, which we denote by $\tilde{\rho}_V$.

PROPOSITION 3.1: *Let E be a separable Banach lattice. Then there exists a $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -closed convex solid subset V_E of $B_{M(\mathbb{H})}$ such that E is lattice isometric to the completion of $C(\mathbb{H})/\ker \rho_{V_E}$ with respect to the lattice norm $\tilde{\rho}_{V_E}$.*

Proof. Choose a sequence (x_n) in B_{E^+} that is dense in B_{E^+} . Set $x = \sum \frac{x_n}{2^n}$. There are a compact Hausdorff space L and a vector lattice isomorphism i from $C(L)$ onto the ideal $E_x = \bigcup_k [-kx, kx]$ of E . Furthermore, $x = i1_L$, where 1_L is the constant function with value 1. Since $x_n \in E_x$, $x_n = if_n$ for some $f_n \in C(L)$. Let F be the closed (with respect to the sup-norm) sublattice of $C(L)$ generated by $(f_n) \cup \{1_L\}$. Since F is an AM-space with unit, there are a compact Hausdorff space K and a Banach lattice isomorphism j from $C(K)$ onto F such that $j1_K = 1_L$. The closed sublattice generated by a countable set is separable [4]; see also [6, p. 143, Exercise 5(c)]. Hence F is separable and thus K is metrizable. By [2, Theorem 4.14], there is an (into) homeomorphism $\varphi : K \rightarrow \mathbb{H}$. Define $q : C(\mathbb{H}) \rightarrow C(K)$ by $qf = f \circ \varphi$. Then $T = i \circ j \circ q : C(\mathbb{H}) \rightarrow E$ is a vector lattice homomorphism and, in particular, a bounded linear operator. Furthermore, $TB_{C(\mathbb{H})} \subseteq [-x, x]$ and $\|x\| \leq 1$. Thus $\|T\| \leq 1$. Set $V_E = T^*B_{E^*}$. Then V_E is a $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -closed convex subset of $B_{M(\mathbb{H})}$.

Next, we show that V_E is solid in $M(\mathbb{H})$. Suppose that $|\nu| \leq |\mu|$, where $\nu \in M(\mathbb{H})$ and $\mu \in V_E$. Choose $x^* \in B_{E^*}$ so that $\mu = T^*x^*$. For $f \in C(\mathbb{H})$, if $|g| \leq |f|$ we have that $|Tg| = T|g| \leq T|f|$ which implies that

$$\begin{aligned} \langle f, \nu \rangle &\leq \langle |f|, |\nu| \rangle \leq \langle |f|, |\mu| \rangle \\ &= \sup_{|g| \leq |f|} |\langle g, \mu \rangle| = \sup_{|g| \leq |f|} |\langle Tg, x^* \rangle| \\ &\leq \langle T|f|, |x^*| \rangle \\ &\leq \|T|f|\| \|x^*\| = \|Tf\| \|x^*\|. \end{aligned}$$

It follows that $y^* : T(C(\mathbb{H})) \rightarrow \mathbb{R}$ given by $y^*(Tf) = \langle f, \nu \rangle$ defines a bounded linear functional on the subspace $T(C(\mathbb{H}))$ of E . Since $x_n \in T(C(\mathbb{H}))$ for all n , $T(C(\mathbb{H}))$ is a dense subspace of E . Thus y^* extends uniquely to an element in E^* , which we denote still by y^* . By the computation above, $\|y^*\| \leq \|x^*\|$ and hence $y^* \in B_{E^*}$. Clearly, it follows from the definition that $T^*y^* = \nu$. Hence $\nu \in V_E$, as desired.

Finally, we show that the map $S : (C(\mathbb{H})/\ker \rho_{V_E}, \tilde{\rho}_{V_E}) \rightarrow E$ given by

$$S\tilde{f} = Tf$$

is a well-defined into lattice isometry. Since the image of S is $T(C(\mathbb{H}))$ and hence dense in E , the proof would be complete. If $f \in \ker \rho_{V_E}$, then $\langle f, T^*x^* \rangle = 0$ for all $x^* \in B_{E^*}$. Thus $Tf = 0$. This shows that S is well-defined. Furthermore, for any $\tilde{f} \in C(\mathbb{H})/\ker \rho_{V_E}$,

$$\tilde{\rho}_{V_E}(\tilde{f}) = \rho_{V_E}(f) = \sup_{x^* \in B_{E^*}} |\langle f, T^*x^* \rangle| = \|Tf\| = \|S\tilde{f}\|.$$

Hence S is an into isometry. Also,

$$|S\tilde{f}| = |Tf| = T|f| = S|f|.$$

Therefore, S is a lattice homomorphism. ■

Since $C(\mathbb{H})$ is separable, we have that $B_{M(\mathbb{H})}$ is a compact metric space in the $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -topology. Let d be a metric on $B_{M(\mathbb{H})}$ that gives the $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -topology. By a theorem of Hausdorff (see [2, Theorem 4.26]), the set \mathcal{C} of all $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -closed subsets of $B_{M(\mathbb{H})}$ is compact with respect to the Hausdorff metric D generated by d . Let $f \in C(\mathbb{H})$. Then there is a metric d' on $B_{M(\mathbb{H})}$ that gives the $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -topology and that

$$d'(\mu, \nu) \geq |\langle f, \mu \rangle - \langle f, \nu \rangle| \quad \text{for all } \mu, \nu \in B_{M(\mathbb{H})}.$$

Since $B_{M(\mathbb{H})}$ is $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -compact, the formal identity map from $(B_{M(\mathbb{H})}, d)$ to $(B_{M(\mathbb{H})}, d')$ is a uniform homeomorphism. Thus, if D' is the Hausdorff metric on \mathcal{C} generated by d' , then D and D' yield the same topology on \mathcal{C} .

PROPOSITION 3.2: *Let \mathcal{K} be the set of all $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -closed convex solid subsets of $B_{M(\mathbb{H})}$. Then \mathcal{K} is a closed subset of \mathcal{C} . Consequently, \mathcal{K} is a compact set with respect to the Hausdorff metric D generated by d .*

Proof. Suppose that $V_n \in \mathcal{K}$ for all n and that $D(V_n, V) \rightarrow 0$ for some $V \in \mathcal{C}$. It is easy to see that V is convex. Indeed, suppose that $a, b \in V$ and $0 \leq \alpha \leq 1$. There are sequences (v_n) and (w_n) so that $v_n, w_n \in V_n$ for each $n \in \mathbb{N}$ and that $d(v_n, a), d(w_n, b) \rightarrow 0$, i.e., $v_n \rightarrow a$ and $w_n \rightarrow b$ with respect to $\sigma(M(\mathbb{H}), C(\mathbb{H}))$. Then

$$\alpha v_n + (1 - \alpha)w_n \rightarrow \alpha a + (1 - \alpha)b \quad \text{with respect to } \sigma(M(\mathbb{H}), C(\mathbb{H})).$$

Since each V_n is convex, $\alpha v_n + (1 - \alpha)w_n \in V_n$. Hence

$$d(\alpha v_n + (1 - \alpha)w_n, V) \leq D(V_n, V) \rightarrow 0.$$

Choose $u_n \in V$ such that $d(\alpha v_n + (1 - \alpha)w_n, u_n) \rightarrow 0$. Then $u_n \rightarrow \alpha a + (1 - \alpha)b$ with respect to $\sigma(M(\mathbb{H}), C(\mathbb{H}))$. Hence $\alpha a + (1 - \alpha)b \in V$. Similarly, one can show that V is symmetric.

Next, we show that V is solid (in $B_{M(\mathbb{H})}$). Suppose on the contrary that there are a, b so that $|a| \leq |b|$, $b \in V$ and $a \notin V$. Since V is convex, symmetric and $\sigma(M(\mathbb{H}), C(\mathbb{H}))$ -closed, there exists $f \in C(\mathbb{H})$ so that

$$\langle f, a \rangle > \sup_{v \in V} |\langle f, v \rangle|.$$

As discussed above, there is a metric d' on $B_{M(\mathbb{H})}$ so that its Hausdorff metric D' generates the same topology on \mathcal{C} and that

$$d'(v_1, v_2) \geq |\langle f, v_1 \rangle - \langle f, v_2 \rangle| \quad \text{for all } v_1, v_2 \in B_{M(\mathbb{H})}.$$

Let $w \in V_n$. Since V_n is solid,

$$\begin{aligned} \langle |f|, |w| \rangle &= \sup_{|u| \leq |w|} |\langle f, u \rangle| \\ &\leq \sup_{u \in V_n} |\langle f, u \rangle| \\ &\leq \sup_{v \in V} |\langle f, v \rangle| + D'(V_n, V). \end{aligned}$$

Choose (x_n) so that $x_n \in V_n$ for each n and that $d'(x_n, b) \rightarrow 0$. For any $\varepsilon > 0$, there exists g with $|g| \leq |f|$ such that

$$|\langle g, b \rangle| + \varepsilon \geq \langle |f|, |b| \rangle.$$

We have

$$|\langle g, b \rangle| = \lim |\langle g, x_n \rangle| \leq \limsup \langle |f|, |x_n| \rangle.$$

It follows that

$$\begin{aligned} \langle f, a \rangle &\leq \langle |f|, |a| \rangle \leq \langle |f|, |b| \rangle \\ &\leq \limsup_n \langle |f|, |x_n| \rangle \\ &\leq \limsup_n (\sup_{v \in V} |\langle f, v \rangle| + D'(V_n, V)) \\ &= \sup_{v \in V} |\langle f, v \rangle|, \end{aligned}$$

contrary to the choice of f . This proves that V is solid. ■

Fix $V \in \mathcal{K}$. Define $q_V : C(\mathcal{K} \times \mathbb{H}) \rightarrow C(\mathbb{H})$ by $q_V(f) = f|_{\{V\} \times \mathbb{H}}$. Let \mathcal{B} be the set $\bigcup_{V \in \mathcal{K}} q_V^*(V)$ and define $\rho_{\mathcal{B}} : C(\mathcal{K} \times \mathbb{H}) \rightarrow \mathbb{R}$ by

$$\rho_{\mathcal{B}}(F) = \sup_{\mu \in \mathcal{B}} \left| \int F d\mu \right|.$$

LEMMA 3.3: $\rho_{\mathcal{B}}$ is a lattice seminorm on $C(\mathcal{K} \times \mathbb{H})$. Thus $C(\mathcal{K} \times \mathbb{H}) / \ker \rho_{\mathcal{B}}$ is a vector lattice. Denote the lattice norm induced by $\rho_{\mathcal{B}}$ on $C(\mathcal{K} \times \mathbb{H}) / \ker \rho_{\mathcal{B}}$ by $\tilde{\rho}_{\mathcal{B}}$. The completion X of $C(\mathcal{K} \times \mathbb{H}) / \ker \rho_{\mathcal{B}}$ with respect to $\tilde{\rho}_{\mathcal{B}}$ is a separable Banach lattice.

Proof. Since $\mathcal{K} \times \mathbb{H}$ is a compact metric space, $C(\mathcal{K} \times \mathbb{H})$ is separable with respect to the sup-norm. If $V \in \mathcal{K}$, then $V \subseteq B_{M(\mathbb{H})}$ and it is clear that $q_V^*(V) \subseteq B_{M(\mathcal{K} \times \mathbb{H})}$. Hence $\mathcal{B} \subseteq B_{M(\mathcal{K} \times \mathbb{H})}$. It is then clear that $\rho_{\mathcal{B}} \leq \|\cdot\|_{\infty}$. Let A be a countable dense subset of $C(\mathcal{K} \times \mathbb{H})$ with respect to the sup-norm. Then $\{\tilde{F} : F \in A\}$ is a countable dense subset of $C(\mathcal{K} \times \mathbb{H}) / \ker \rho_{\mathcal{B}}$ with respect to $\tilde{\rho}_{\mathcal{B}}$. Thus X is separable. ■

If $V \in \mathcal{K}$, identify $\{V\} \times \mathbb{H}$ with \mathbb{H} .

LEMMA 3.4: Let E be a separable Banach lattice. The map $Q : C(\mathcal{K} \times \mathbb{H}) \rightarrow C(\mathbb{H})$ given by

$$QF = F|_{\{V_E\} \times \mathbb{H}}$$

has the following properties:

- (1) $Q(\ker \rho_{\mathcal{B}}) \subseteq \ker \rho_{V_E}$ and hence Q induces a map

$$\tilde{Q} : C(\mathcal{K} \times \mathbb{H}) / \ker \rho_{\mathcal{B}} \rightarrow C(\mathbb{H}) / \ker \rho_{V_E}.$$

\tilde{Q} is a lattice homomorphism.

- (2) \tilde{Q} maps the open ball in $(C(\mathcal{K} \times \mathbb{H}) / \ker \rho_{\mathcal{B}}, \tilde{\rho}_{\mathcal{B}})$ onto the open ball in $(C(\mathbb{H}) / \ker \rho_{V_E}, \tilde{\rho}_{V_E})$.

Proof. (1) Let $F \in \ker \rho_{\mathcal{B}}$. Thus $\int F d\mu = 0$ for all $\mu \in \mathcal{B}$. In particular, $\int F d\mu = 0$ for all $\mu \in q_{V_E}^*(V_E)$. Let $f = QF = F|_{\{V_E\} \times \mathbb{H}}$ and identify $\{V_E\} \times \mathbb{H}$ with \mathbb{H} . If $\nu \in V_E$, let $\mu = q_{V_E}^*(\nu)$. We have

$$0 = \int F d\mu = \int q_{V_E} F d\nu = \int f d\nu.$$

This shows that $\rho_{V_E}(QF) = 0$. Since Q is obviously a lattice homomorphism, so is \tilde{Q} .

(2) Let $\tilde{F} \in C(\mathcal{K} \times \mathbb{H})/\ker \rho_{\mathcal{B}}$ with $\tilde{\rho}_{\mathcal{B}}(\tilde{F}) < 1$. Then $F \in C(\mathcal{K} \times \mathbb{H})$ and $\rho_{\mathcal{B}}(F) < 1$. Let $f = F|_{\{V_E\} \times \mathbb{H}}$, identified as a function on \mathbb{H} . For any $\nu \in V_E$, $q_{V_E}^*(\nu) \in \mathcal{B}$ and hence

$$\left| \int f d\nu \right| = \left| \int q_{V_E} F d\nu \right| \leq \rho_{\mathcal{B}}(F) < 1.$$

Thus

$$\rho_{V_E}(f) = \sup_{\nu \in V_E} \left| \int f d\nu \right| < 1.$$

We claim that the function $V \in \mathcal{K} \mapsto \rho_V(f) \in \mathbb{R}$ is continuous. As per the discussion preceding Proposition 3.2, there is a metric d' on $B_{M(\mathbb{H})}$ so that

$$d'(\nu_1, \nu_2) \geq \left| \int f d\nu_1 - \int f d\nu_2 \right| \quad \text{for all } \nu_1, \nu_2 \in B_{M(\mathbb{H})}$$

and that the associated Hausdorff metric D' generates the same topology as D on \mathcal{K} . Suppose that $V, W \in \mathcal{K}$ and $D'(V, W) < \varepsilon$. Let $\nu \in V$. There exists $\nu' \in W$ such that

$$\left| \int f d\nu - \int f d\nu' \right| \leq d'(\nu, \nu') < \varepsilon.$$

It follows that $\rho_V(f) \leq \rho_W(f) + \varepsilon$. The claim follows by symmetry.

By continuity, there is an open neighborhood \mathcal{O} of V_E in \mathcal{K} such that

$$\sup_{V \in \mathcal{O}} \rho_V(f) < 1.$$

Choose a continuous function $h : \mathcal{K} \rightarrow [0, 1]$ such that $h(V_E) = 1$ and that $h(V) = 0$ for all $V \notin \mathcal{O}$. Let G be the function on $\mathcal{K} \times \mathbb{H}$ defined by

$$G(V, x) = h(V)f(x).$$

Then $G \in C(\mathcal{K} \times \mathbb{H})$. We have

$$\rho_{\mathcal{B}}(G) = \sup_{V \in \mathcal{K}} \sup_{\nu \in V} \left| \int q_V(G) d\nu \right| = \sup_{V \in \mathcal{K}} h(V)\rho_V(f).$$

If $V \notin \mathcal{O}$, then $h(V) = 0$. Otherwise, $0 \leq h(V) \leq 1$. Hence

$$\rho_{\mathcal{B}}(G) \leq \sup_{V \in \mathcal{O}} \rho_V(f) < 1.$$

This proves that \tilde{G} belongs to the open ball of $(C(\mathcal{K} \times \mathbb{H})/\ker \rho_{\mathcal{B}}, \tilde{\rho}_{\mathcal{B}})$. Finally,

$$\tilde{Q}\tilde{G} = \tilde{Q}\tilde{G} = (G|_{\{V_E\} \times \mathbb{H}})^{\sim} = (h(V_E)f)^{\sim} = \tilde{f} = \tilde{F}. \quad \blacksquare$$

Proof of Theorem 1.2. Let X be the separable Banach lattice defined in Lemma 3.3. Let E be a separable Banach lattice. By Proposition 3.1, there exists $V_E \in \mathcal{K}$ such that E is lattice isometric to the completion of $(C(\mathbb{H})/\ker \rho_{V_E}, \tilde{\rho}_{V_E})$. We will identify E with the completion.

Define \tilde{Q} as in Lemma 3.4. By the lemma, \tilde{Q} extends uniquely to a lattice homomorphism \mathbf{Q} that maps the open ball of X onto the open ball of E . Hence \mathbf{Q} is a lattice quotient from X onto E . (See the Introduction.) \blacksquare

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